

**ORCO – Graphs and Discrete Structures**  
**December 15, 2021 – Lecture 11**

## 1 Chromatic number of Kneser graphs

In this lecture, let us consider the following graph  $KG_{n,k}$ . The vertices of  $KG_{n,k}$  are all  $k$ -element subsets of  $[n]$ , i.e.,  $\binom{[n]}{k}$ , and the edge set consists of all pairs of sets that are disjoint. In particular,  $KG_{n,1}$  is a complete graph on  $n$  vertices and  $KG_{5,2}$  is the famous Petersen graph [https://en.wikipedia.org/wiki/Petersen\\_graph](https://en.wikipedia.org/wiki/Petersen_graph)

Note that an independent set in  $KG_{n,k}$  is a collection of pairwise intersecting  $k$ -element sets, and thus the Erdős–Ko–Rado theorem in these terms states that

$$\alpha(KG_{n,k}) = \binom{n-1}{k-1}.$$

Kneser asked for the chromatic number of  $KG_{n,k}$ . The graph has the following natural coloring using  $n - 2k + 2$  colors. For each  $i = 2k, \dots, n$  color in color  $i$  the sets whose maximal element is  $i$ . We have used  $n - 2k + 1$  colors and the only subsets that are left uncolored are  $k$ -element subsets of  $[2k - 1]$ . Any two of them intersect, and thus we use an extra color for them.

Kneser conjectured that this is best possible, i.e., that  $\chi(KG_{n,k}) = n - 2k + 2$ . This was proved by Lovász using topology.

**Theorem 1** (Lovász). *For any  $n \geq 2k > 0$  we have  $\chi(KG_{n,k}) = n - 2k + 2$ .*

The proof that we present is rather simple, but, unexpectedly, it uses some geometry and topology. We need some preparations in order to spell it out. Recall that a subset  $X$  of a metric space  $Y$  is *open* if for any  $x \in X$  an  $\epsilon$ -neighborhood of  $x$  is contained in  $X$ . (Think of  $Y$  being the Euclidean space  $\mathbb{R}^n$  or a sphere  $S^{n-1}$ .) A set  $X$  in  $Y$  is *closed* if for any converging sequence  $x_1, \dots \in X$  its limiting point  $x$  is also in  $X$ . A complement of open set is closed and vice versa. A sphere  $S^{n-1}$  stands for the standard unit sphere in  $\mathbb{R}^n$ . A pair of points  $x, -x$  on the sphere are called *antipodal*.

The main and only topological tool that we are going to use in this lecture is the following theorem of Lusternik-Schnirelman-Borsuk.

**Theorem 2** (Lusternik-Schnirelman-Borsuk). *If  $S^{d-1}$  is covered by  $d$  sets  $C_1, \dots, C_d$ , such that each of them is either open or closed, then there is  $i$  such that  $C_i$  contains two antipodal points.*

It is very instructive to think about the case  $d = 2$ . Note that without the assumptions on  $C_i$  it is easy to construct a covering of  $S^{d-1}$  with just 2 sets and no antipodal points: simply out of each pair of antipodal points  $x, -x$  include the first one in  $C_1$  and the second in  $C_2$ .

Next, we need a certain statement about points in general position.

**Lemma 3.** *For any integer  $N$  we can take  $N$  points on the sphere  $S^{d-1}$  so that no  $d$  points lie on a diametral hypersphere, i.e., a subsphere formed by intersecting  $S^{d-1}$  with a hyperplane passing through the center of  $S^{d-1}$ .*

If the points satisfy the requirement above then we say that they are in *general position*. Actually, in different situations different general position requirements are imposed, but the rule is that this is a property we get with probability 1 if we take points at random. Here, however, we will provide an explicit construction using a very useful object: the moment curve.

*Proof.* The *moment curve* is defined as follows:  $\gamma(x) = (1, x, x^2, \dots, x^{d-1})$ . This is a curve in  $\mathbb{R}^d$ . Let us show that if we take any  $N$  points on this curve, then no  $d$  of these points lie on a hyperplane in  $\mathbb{R}^d$  that passes through 0. A generic hyperplane passing through 0 has the form  $c_1x_1 + \dots + c_dx_d = 0$ . Substituting here the point  $\gamma(x)$ , we get  $c_1 + c_2x + \dots + c_dx^{d-1} = 0$ . This is a polynomial of degree at most  $d - 1$  and thus it has at most  $d - 1$  real root, so at most  $d - 1$  points from the moment curve can lie on any such plane. All we are left to do is to replace each point  $v$  with  $\alpha v$  for some constant  $\alpha > 0$  so that  $\alpha v$  is on  $S^{d-1}$ . The resulting points satisfy the requirement.  $\square$

We are ready to prove the Lovász' theorem.

*Proof.* Fix any coloring of  $KG_{n,k}$  into  $n - 2k + 1$  colors and let us show that it is not proper. Take a map  $f : [n] \rightarrow S^{n-2k+1}$  that maps  $[n]$  into points in general position. For shorthand, we denote by  $f(A)$  the image of the set  $A \subset [n]$ . Based on  $f$  and the coloring, we are going to construct a cover of  $S^{n-2k+1}$  by sets  $C_0, \dots, C_{n-2k+1}$ . Namely, for each point  $v \in S^{n-2k+1}$  consider the open hemisphere  $S_v := \{x : \langle v, x \rangle > 0\}$ . First, assume that  $S_v$  contains  $f(A)$  for some  $A \in \binom{[n]}{k}$ . Let  $\chi$  be the color of  $A$  in the coloring of  $KG_{n,k}$ ,  $\chi \in [n - 2k + 1]$ . Then we include  $v$  into  $S_\chi$ . (Note that we do this for each such set  $A$  and each color that appears.) If  $S_v$  does not contain the image of any  $A \in \binom{[n]}{k}$ , then we include  $S_v$  into  $C_0$ . Note that then  $S_v$  contains at most  $k - 1$  points  $f(i)$ ,  $i \in [n]$ .

First, we claim that  $C_i$ ,  $i \in [n - 2k + 1]$ , are open. Indeed, for each fixed set  $A$  of color  $i$  the set of all  $v$  such that  $S_v$  contains  $f(A)$  is open. Second,  $C_i$  is the union of such sets over all  $A$  of color  $i$ , and the union of finitely many open sets is open. Next,  $C := C_1 \cup \dots \cup C_{n-2k+1}$  is open as well, and  $C_0$  is simply the complement of  $C$  on the sphere, so it is closed.

Note that we have a cover of  $S^{n-2k+1}$  with  $(n - 2k + 2)$  open or closed sets, and so we can apply the Lusternik–Schnirelman–Borsuk theorem, getting that for some  $i$  the set  $C_i$  contains antipodal points.

Assume first that  $i > 0$ . Then for some  $v$   $S_v$  and  $S_{-v}$  contain  $f(A), f(A')$ , respectively, where the  $k$ -element sets  $A, A'$  are of the same color  $i$ . But  $S_v$  and  $S_{-v}$  are disjoint, so  $f(A)$  and  $f(A')$  are disjoint, and so  $A, A'$  are disjoint. This means that the coloring is not proper.

Next, assume that  $i = 0$ . But then for some  $v$  both  $S_v$  and  $S_{-v}$  contain at most  $k - 1$  points  $f(i)$ , i.e., there are at most  $2k - 2$  values of  $i$  so that  $\langle v, f(i) \rangle \neq 0$ . Thus, at least  $n - 2k + 2$  points  $f(i)$  lie on the diametral hypersphere  $\{x : \langle v, x \rangle = 0\}$ . But this contradicts our general position assumption. This completes the proof.  $\square$